OFFICE MEMORANDUM

Subject: Modification of Preliminary Project Report (PPR) Format for proposals submitted by State Governments and Central Ministries to be considered by MI Division for external assistance from MDBs-reg.

The undersigned has been directed to convey that with effect from April 1st, 2018, proposals submitted by State Governments and Central Ministries to MI Division to be considered for external assistance from MDBs must be sent in the PPR format enclosed herewith.

2. It may be noted that the submission of PPR format would be made online shortly. The date for the same shall be intimated in due course.

3. This issues with the approval of the competent authority.

(Encl: As above)

(Bandana Preyashi)
Director (MI)
Ph: 23092345
Email: bandana.preyashi@gov.in

To,

1. All Secretaries in Government of India
2. All Chief Secretaries in the States and Administrators in the Union Territories
3. Executive Director (India), World Bank
4. Executive Director (India), ADB
5. Country Director (India), World Bank
6. Country Director (INRM), ADB
7. All Joint Secretaries in DEA for information
8. All Directors/Deputy Secretaries in MI Division of DEA
## PRELIMINARY PROJECT PROPOSAL REPORT FORMAT

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td><strong>1.</strong></td>
<td>Name of the Project</td>
</tr>
</tbody>
</table>
| **2.** | Sectoral Area  
(Indicate from the list at Annexure A) |
| **3.** | Central Line Ministry or Department for the Project |
| **4.** | State Line Department for the Project |
| **5.** | Proposed Project Implementation Agency |
| **6.** | Institutional Structure for Delivery (SPV/PMU/Any other) |
| **7.** | Basic Design of the Project  
Goals and Objectives  
(max 100 words)  
Activities Involved  
(max 100 words)  
Outputs of the Project  
(max 100 words)  
Outcome of the Project  
(max 100 words) |
| **8.** | Finance Plus Element  
Systemic and Transformational Impact (max 100 words) |
| Innovations and Piloting of New Approaches (max 100 words) |
| Innovations in Financing Leveraging (max 100 words) |
| International Best Practices Proposed to be Adopted (max 100 words) |

| 9. Other Elements (if any) |
| Private Sector Engagement (Financing, Supply Side Involvement, Provision Of Services) (max 100 words) |
| Climate Mitigation / Adaptation (max 100 words) |
| Beneficiary Engagement / Community Involvement / Community Monitoring (max 100 words) |
| Mainstreaming of Gender (max 100 words) |

| 10. Name / Names of the State / States Involved |
| 11. Name / Names of the District / Districts Involved |

| 12. Proposed Project Duration From |
| To |
| Years |
| Months |

| 13. Type of Project (Please tick) |
| Central Sector |
| State Sector |

| 14. Category of State (Please tick) |
| General |
| Special Category |
15. **Counterpart Funding by the Centre or State or Both (Specify %)**

- Central Sector (min 50%)
- State Sector (min 30%) for General Category
- State Sector (min 20%) for Special Category
- Other

16. **Financial Arrangement**

<table>
<thead>
<tr>
<th>Tranche</th>
<th>Total External Assistance Sought</th>
<th>Counterpart funding being made available by</th>
<th>Total Project Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Implementing Agency</td>
<td>State Government</td>
</tr>
</tbody>
</table>

**IN INR**

<table>
<thead>
<tr>
<th>Tranche</th>
<th>Total Externl Assistance Sought</th>
<th>Counterpart funding being made available by</th>
<th>Total Project Cost</th>
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<tbody>
<tr>
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<td></td>
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<td>State Government</td>
</tr>
</tbody>
</table>

**IN USD (One USD = .......... INR) as on ................................ (Date)**

<table>
<thead>
<tr>
<th>Tranche</th>
<th>Total Externl Assistance Sought</th>
<th>Counterpart funding being made available by</th>
<th>Total Project Cost</th>
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<tbody>
<tr>
<td></td>
<td></td>
<td>Implementing Agency</td>
<td>State Government</td>
</tr>
</tbody>
</table>

17. **Year wise financial projections of fund utilisation**

<table>
<thead>
<tr>
<th>Year</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>INR</td>
<td></td>
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<td>USD</td>
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</tbody>
</table>
18. Name of the Multilateral Development Bank (MDB)/ International Financial Institution (IFI) (Indicate from the list at Annexure B) from which External Assistance is sought

19. Details of Clearances / Comments / Observations about the project (Please enclose copies)

<table>
<thead>
<tr>
<th>Central</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>NITI Aayog</td>
<td>Yes / No</td>
</tr>
<tr>
<td>Central Line Ministry/Department (for Central PSU-Executed Projects)</td>
<td>Yes / No</td>
</tr>
<tr>
<td>Ministry of Home Affairs (MHA), GoI (for all UTs without State Legislature)</td>
<td>Yes / No</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>State (Excluding North Eastern States)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Proposed Central Line Ministry or Department for the Project</td>
<td>Yes / No</td>
</tr>
<tr>
<td>Debt – Sustainability Self-Certification by State Finance Department</td>
<td>Yes / No</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>State (North Eastern States)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Proposed Central Line Ministry or Department for the Project, GOI</td>
<td>Yes / No</td>
</tr>
<tr>
<td>Ministry of External Affairs, GOI</td>
<td>Yes / No</td>
</tr>
<tr>
<td>Ministry of Home Affairs, GOI</td>
<td>Yes / No</td>
</tr>
<tr>
<td>Ministry of Development of North Eastern Region, GOI</td>
<td>Yes / No</td>
</tr>
<tr>
<td>Debt – Sustainability Self-Certification by State Finance Department</td>
<td>Yes / No</td>
</tr>
</tbody>
</table>

20. Whether Feasibility Study for the project is available?                                                         | Yes / No |

21. Whether Detailed Project Report (DPR) or Detailed Engineering Design for the project is available?               | Yes / No |
22. Whether following clearances are involved in the project

<table>
<thead>
<tr>
<th></th>
<th>Yes / No</th>
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</thead>
<tbody>
<tr>
<td>Environment</td>
<td></td>
</tr>
<tr>
<td>Coastal Regulation Zone</td>
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<tr>
<td>Forest</td>
<td></td>
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<tr>
<td>Heritage</td>
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<tr>
<td>Any other (Please specify)</td>
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</tbody>
</table>

23. Current status of clearances mentioned in Point 22

<table>
<thead>
<tr>
<th></th>
<th>Max 100 words</th>
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</thead>
<tbody>
<tr>
<td>Environment</td>
<td></td>
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<tr>
<td>Coastal regulation Zone</td>
<td></td>
</tr>
<tr>
<td>Forest</td>
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<tr>
<td>Heritage</td>
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<td>Any other (Please specify)</td>
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24. Whether any court or tribunal proceedings are

<table>
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<th>Yes / No</th>
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<tr>
<td></td>
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<tr>
<td>Question</td>
<td>Answer</td>
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<td>------------------------------------------------------------------------</td>
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<tr>
<td>pending that could impact the project?</td>
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<tr>
<td>25. Current Status of court or tribunal proceedings if pending (max 100 words)</td>
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</tr>
<tr>
<td>26. Whether Land pooling / land acquisition / Resettlement and Rehabilitation is involved in the project?</td>
<td></td>
</tr>
<tr>
<td>Land Pooling</td>
<td>Yes / No</td>
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<tr>
<td>Land Acquisition</td>
<td>Yes / No</td>
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<tr>
<td>Resettlement &amp; Rehabilitation</td>
<td>Yes / No</td>
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<tr>
<td>Any Other (Please specify)</td>
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<tr>
<td>27. Current Status of Land pooling / land acquisition / Resettlement and Rehabilitation involved in the project</td>
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<tr>
<td>Land Pooling(max 100 words)</td>
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<tr>
<td>Land acquisition(max 100 words)</td>
<td></td>
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<tr>
<td>Resettlement &amp; Rehabilitation(max 100 words)</td>
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<tr>
<td>Any Other (Please specify)(max 100 words)</td>
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<tr>
<td>28. Whether External Assistance has been availed in the past for similar project (i.e., earlier phase etc.)? If yes,</td>
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<tr>
<td>Name of the Project:</td>
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## Project period:

<table>
<thead>
<tr>
<th>Start Date</th>
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<tbody>
<tr>
<td>Completion Date:</td>
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<tr>
<td>Loan/Credit Amount (in INR crores and USD million):</td>
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### Details of externally aided projects (completed / ongoing /pipeline / posed / under examination) since 01.04.2008 undertaken by the proposed borrowing Agency (Central line Ministry / Department / Central PSU/ State Government)

<table>
<thead>
<tr>
<th>Name of the sector</th>
<th>Name of the MDB/IFI which provided Loan assistance</th>
<th>Name of the Ministry/Dept.</th>
<th>Name of the project</th>
<th>Start date</th>
<th>Close date</th>
<th>Project cost</th>
<th>Expenditure Incurred (in INR crores and USD million)</th>
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<tr>
<th>Original</th>
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<th>Revised</th>
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</table>
Sectoral Area

1. Agriculture (including Crop Insurance) and Allied Sector (including Dairy, Fisheries, Poultry and Horticulture)
2. Disaster Management
3. Education & Skill Development
4. Energy (including Renewables)
5. Environment and Climate Change
6. Finance (includes Public Finance Management and Financial Institutions)
7. Governance (includes service delivery)
8. Health and Nutrition
9. Irrigation/Water Resources (including Rural Water and Watershed)
10. Rural Development (including rural roads and rural sanitation), Livelihoods and Panchayati Raj Institutions (PRI)
11. Tourism & Culture
12. Transport and Logistics (including Airports, Ports, Railways, Inland Waterways, Metros, Bus Service, National Highways, State Highways)
13. Urban Development (including Urban roads and Urban Water/Sanitation)
14. Social Safety Net programmes/Initiatives
15. Telecom, Media, Technology and Venture Capital
16. Manufacturing, Agribusiness and Services
17. Natural Resources
18. Others (Please Specify)

Name of MDB/IFI from which external assistance is sought

1. World Bank – IBRD
2. World Bank – IFC
3. Asian Development Bank (ADB)
4. IFAD
5. NDB
6. Others (Please specify)